

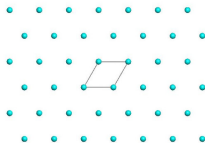
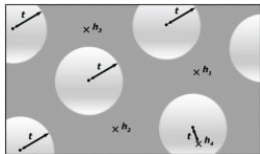
SPHINCS: practical stateless hash-based signatures

Daniel J. Bernstein, Daira Hopwood, Andreas Hülsing,
Tanja Lange, Ruben Niederhagen, Louiza Papachristodoulou,
Peter Schwabe, Zooko Wilcox-O'Hearn

9 December 2014

Post-quantum signatures

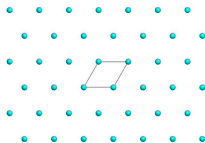
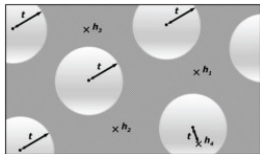
Several issues for lattice-based, code-based, and multivariate quadratics-based signatures:



- ▶ Signature and/or key size are too big.
- ▶ Signature generation or verification is too slow.

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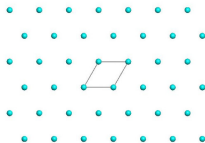
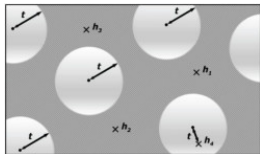
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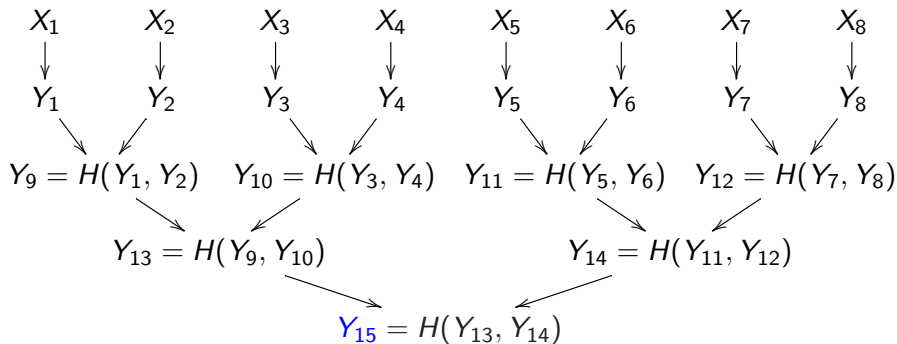
All signatures need hash functions anyways ...

Hash-based signatures

- ▶ 1979 Lamport one-time signature scheme.
- ▶ Fix a k -bit one-way function $G : \{0, 1\}^k \rightarrow \{0, 1\}^k$ and hash function $H : \{0, 1\}^* \rightarrow \{0, 1\}^k$.
- ▶ Signer's secret key X : $2k$ strings $x_1[0], x_1[1], \dots, x_k[0], x_k[1]$, each k bits. Total: $2k^2$ bits.
- ▶ Signer's public key Y : $2k$ strings $y_1[0], y_1[1], \dots, y_k[0], y_k[1]$, each k bits, computed as $y_i[b] = G(x_i[b])$. Total: $2k^2$ bits.
- ▶ Signature $S(X, r, m)$ of a message m :
 $r, x_1[h_1], \dots, x_k[h_k]$ where $H(r, m) = (h_1, \dots, h_k)$.
- ▶ Must never use secret key more than once.
- ▶ Usually choose $G = H$ (restricted to k bits).
- ▶ 1979 Merkle extends to more signatures.

8-time Merkle hash tree

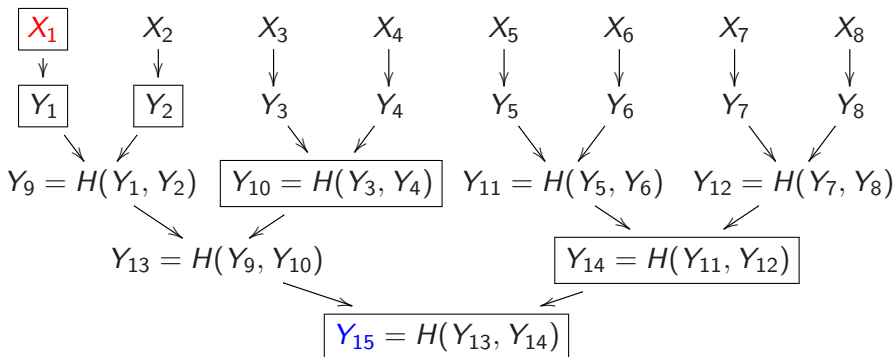
Eight Lamport one-time keys Y_1, Y_2, \dots, Y_8 with corresponding X_1, X_2, \dots, X_8 , where $X_i = (x_{i,1}[0], x_{i,1}[1], \dots, x_{i,k}[0], x_{i,k}[1])$ and $Y_i = (y_{i,1}[0], y_{i,1}[1], \dots, y_{i,k}[0], y_{i,k}[1])$.



The Merkle public key is Y_{15} .

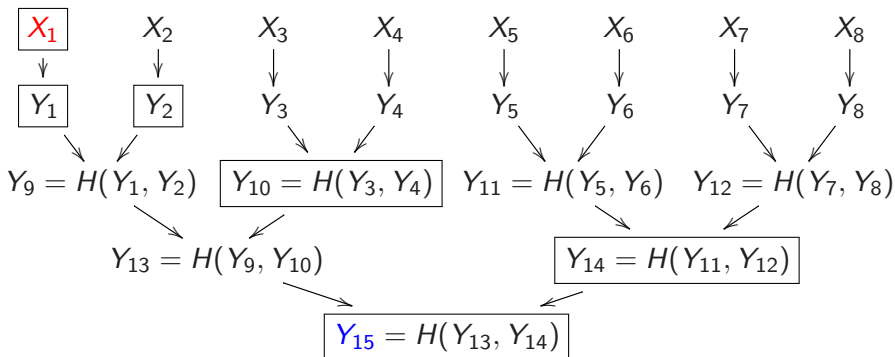
Signature in 8-time Merkle hash tree

First message has signature is $(S(X_1, r, m), Y_1, Y_2, Y_{10}, Y_{14})$.



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Verify by checking signature $S(X_1, r, m)$ on m against Y_1 . Link Y_1 against public key Y_{15} by computing $Y'_9 = H(Y_1, Y_2)$, $Y'_{13} = H(Y'_9, Y_{10})$, and comparing $H(Y'_{13}, Y_{14})$ with Y_{15} .

Pros and cons

Pros:

- ▶ Post quantum
- ▶ Only need secure hash function
- ▶ Small public key
- ▶ Security well understood
- ▶ Fast
- ▶ Proposed for standards <http://tools.ietf.org/html/draft-housley-cms-mts-hash-sig-01>

[\[Docs\]](#) [\[txt/pdf\]](#) [\[Tracker\]](#) [\[Email\]](#) [\[Diff1\]](#) [\[Diff2\]](#) [\[Nits\]](#)

Versions: [00](#) [01](#)

INTERNET-DRAFT R. Housley
Intended Status: Proposed Standard Vigil Security
Expires: 24 October 2014 24 April 2014

**Use of the Hash-based Merkle Tree Signature (MTS) Algorithm
in the Cryptographic Message Syntax (CMS)**
[<draft-housley-cms-mts-hash-sig-01>](#)

Abstract

This document specifies the conventions for using the Merkle Tree Signatures (MTS) digital signature algorithm with the Cryptographic

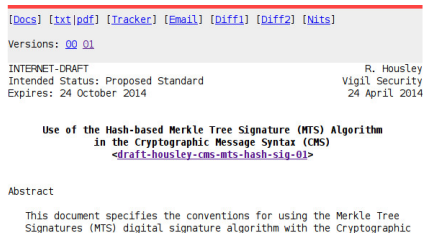
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Cons:

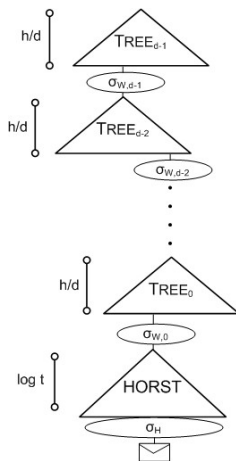
- ▶ Biggish signature and secret key
- ▶ Stateful
Adam Langley “for most environments it’s a huge foot-cannon.”



The screenshot shows the top portion of an IETF draft document. At the top, there are links for [Docs], [txt/pdf], [Tracker], [Email], [Diff1], [Diff2], and [Nits]. Below these are version links: 00 and 01. The document title is "INTERNET-DRAFT Use of the Hash-based Merkle Tree Signature (MTS) Algorithm in the Cryptographic Message Syntax (CMS)", with the author listed as R. Housley, Vigil Security. The draft expires on 24 October 2014. The abstract states: "This document specifies the conventions for using the Merkle Tree Signatures (MTS) digital signature algorithm with the Cryptographic".

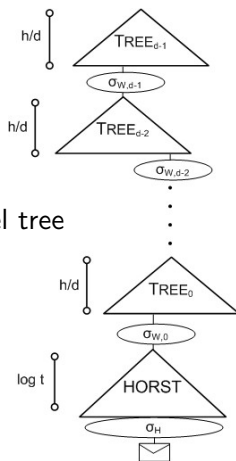
SPHINCS signature

- ▶ Stateless signature
- ▶ 128-bit post-quantum security
- ▶ Practical speed
- ▶ Practical signature size



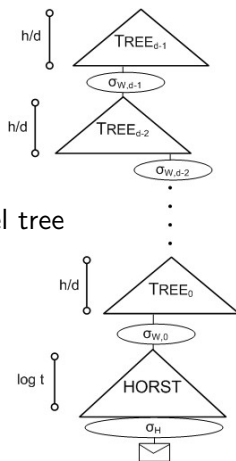
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- ▶ Introduce new Few-Time Signature (FTS) HORS with Trees (HORST)
- ▶ New analysis of r -subset-resilience



SPHINCS achievements

Fast implementation, e.g., on Intel Haswell ([titan0](#)):

Key generation	3 182 996 cycles
Verification	1 438 120 cycles
Signature	51 035 880 cycles

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